

# HOD Analysis for Admissible Structures

## Vienna Inner Model Theory Conference

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This is joint work with Farmer Schlutzenberg.

- 1 The Analysis of HOD of  $L[x, G]$
- 2 The Analysis of  $\Sigma_1$ -HOD of  $L_{\alpha_1}[x_1, G_1]$
- 3 Results

Assume that every real has a sharp and  $M_1^\sharp$  is  $(\omega, \omega_1, \omega_1)$ -iterable. Let  $\Sigma$  be the *unique*  $(\omega, \omega_1, \omega_1)$  iteration strategy for  $M_1^\sharp$ .

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Let  $x \in \mathbb{R}$  be fixed such that  $M_1^\sharp \in L[x]$  and let  $\kappa_x$  be the least inaccessible cardinal of  $L[x]$ . Let  $G \subset \text{Col}(\omega, < \kappa_x)$  be  $L[x]$ -generic.

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### Definition

Let  $(\mathcal{F}, \rightarrow)$  be the directed system of all  $\Sigma$ -iterates of  $M_1$  which are countable in  $L[x, G]$ , where  $N \rightarrow P$  if  $P$  is a  $\Sigma$ -iterate of  $N$ .

## Definition

Let  $\mathcal{M}_\infty$  be the direct limit of  $(\mathcal{F}, \rightarrow)$  by the iteration maps given by  $\Sigma$ .

Let  $\delta_\infty$  be the Woodin cardinal of  $\mathcal{M}_\infty$  and  $\kappa_\infty$  the least inaccessible of  $\mathcal{M}_\infty$  greater than  $\delta_\infty$ .

Let  $\Lambda$  be the restriction of  $\Sigma$  to finite stacks of normal trees on  $\mathcal{M}_\infty$  such that  $\vec{T} \in \mathcal{M}_\infty | \kappa_\infty$ .



### Theorem (Steel, Woodin)

*Suppose that every real has a sharp and  $M_1^\sharp$  is  $(\omega, \omega_1, \omega_1)$ -iterable.  
Then  $HOD^{L[x, G]} = \mathcal{M}_\infty[\Lambda]$ .*

A naive idea of showing that  $\mathcal{M}_\infty \subset \text{HOD}^{L[x, G]}$  would be to try to show that  $(\mathcal{F}, \rightarrow) \in L[x, G]$  and then compute  $\mathcal{M}_\infty$  from this.

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with maps

$$\pi_{(P,s)(N,t)}: H_s^N \rightarrow H_t^P,$$

with direct limit  $M'_\infty \subset \text{HOD}^{L[x,G]}$ .



In order to show that  $M_\infty = M'_\infty$  it is crucial that the maps  $\pi_{(P,s)(N,t)}$  in  $\mathcal{D}$  sufficiently agree with the maps  $i_{NP}$  given by  $\Sigma$  if  $N, P \in \mathcal{F}$ .

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## Question

Can one do a similar analysis for admissible structures?

Replacing  $M_1$  with:

### Definition

Let  $\mathcal{M}_1^{\text{ad}}$  be the least mouse which models

- KP,
- $V = L[E]$ ,
- there is a Woodin cardinal  $\delta$ , and
- there is an inaccessible  $\kappa$  such that  $\delta < \kappa$  and  $\kappa^+$  exists.

Let  $\Sigma_1^{\text{ad}}$  be the unique  $(\omega, \omega_1, \omega_1)$ -iteration strategy for  $\mathcal{M}_1^{\text{ad}}$ .

Replacing  $L[x, G]$  with:

### Definition

Let  $x_1 \in \mathbb{R}$  be such that  $x_1 \leq_T \mathcal{M}_1^{\text{ad}}$  and let  $\alpha_1$  be the least  $\beta$  such that

$$L_\beta[x_1] \models \text{KP} + \exists \kappa (\text{“}\kappa \text{ is inaccessible”} \wedge \text{“}\kappa^+ \text{ exists”}).$$

Let  $G_1 \subset \text{Col}(\omega, < \kappa_1)$  be  $L_{\alpha_1}[x_1]$ -generic, where  $\kappa_1$  is the inaccessible of  $L_{\alpha_1}[x_1]$ .

Replacing  $\text{HOD}^{L[x, G]}$  with:

### Definition

Let  $\Sigma_1$ -OD be the set of all elements of  $L_{\alpha_1}[x_1, G_1]$  which are ordinal-definable over  $L_{\alpha_1}[x_1, G_1]$  via a  $\Sigma_1$ -formula.

Let  $\Sigma_1$ -HOD be the set of all  $a \in L_{\alpha_1}[x_1, G_1]$  such that  $\text{tc}(a) \subset \Sigma_1$ -OD.

## Definition

Let  $(\mathcal{F}^{\text{ad}}, \rightarrow)$  be the directed system of all  $\Sigma^{\text{ad}}$ -iterates which are countable in  $L_{\alpha_1}[x_1, G_1]$ , where  $N \rightarrow P$  if  $P$  is a  $\Sigma^{\text{ad}}$ -iterate of  $N$ .

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Let  $\Lambda^{\text{ad}}$  be the restriction of  $\Sigma^{\text{ad}}$  to finite stacks of normal trees on  $\mathcal{M}_\infty$  such that  $\vec{T} \in \mathcal{M}_\infty | \kappa_\infty$ .

### Theorem (K., Schlutzenberg)

*Suppose that there is a Woodin cardinal. Then  $\Sigma_1$ -HOD =  $\mathcal{M}_\infty[\Lambda^{ad}]$ .*



The main obstacle in adopting the proof of the analysis of  $\text{HOD}^{L[x, G]}$  is finding a suitable replacement for the use of indiscernibles.

Let  $\mathbb{R}^+ = \bigcup_{\beta < \kappa} \mathbb{R}^{L_{\alpha_1}[x_1, G_1 \upharpoonright \beta]}$ .

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## Definition

Let  $T$  be the tree which searches for a sequence  $\langle \gamma_n, \beta_n, m_n \mid n < \omega \rangle$  such that

- 1  $\kappa < \beta_n < \gamma_n < \kappa^+$ ,

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- 2  $L_{\gamma_n}(\mathbb{R}^+) \models \text{“}\kappa^+ \text{ exists”}$ ,
- 3 there is a  $\Sigma_1$ -elementary embedding  
 $\pi : L_{\gamma_n}(\mathbb{R}^+) \rightarrow L_{\gamma_{n+1}}(\mathbb{R}^+)$  such that  $\pi \upharpoonright (\kappa^+)^{L_{\gamma_n}(\mathbb{R}^+)} = \text{id}$ ,

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- 4 if  $m_n = 0$ , then  $\pi(\beta_n) > \beta_{n+1}$ , and if  $m_n > 0$ , then  
 $\pi(\beta_n) = \beta_{n+1}$  and  $m_{n+1} = m_n - 1$ .

## Lemma

Let  $b = \langle \gamma_n, \beta_n, m_n \rangle_{n < \omega}$  be the left-most branch of  $T$ . Let  $M_b$  be the direct limit given by  $b$ . Then

- $M_b$  is ill-founded with  $wfc(M_b) = L_{\alpha_1}(\mathbb{R}^+)$ ,
- $\sup\{\gamma_n \mid n < \omega\} = \kappa^+$ , and
- for every  $n < \omega$ ,  $\{(\gamma_n, \beta_n, m_n)\}$  is  $\Sigma_1 \wedge \Pi_1$ -definable over any  $x$ -weasel  $N$ .

Generalizing to  $\Sigma_n$ -KP for  $n \geq 1$ .



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## Theorem (K., Schlutzenberg)

Suppose that there is a Woodin cardinal. Let  $n \geq 1$ . Then

- 1  $\Sigma_n$ -HOD =  $M_\infty[\Lambda_n]$ ,
- 2  $\Sigma_n$ -HOD  $\models \Sigma_n$ -KP +  $\exists \delta$  (“ $\delta$  is Woodin”),
- 3  $\Sigma_n$ -HOD is a forcing ground of  $L_{\alpha_n}[x_n, G_n]$ .

Thank you!